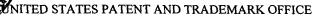
N 20**82** # {

PATENT



IN THE APPLICATION OF: QUN ZHU ET. AL.

CASE NUMBER: BB1436 US PCT

APPLICATION NO: 09/890,811

GROUP ART UNIT: UNKNOWN

FILED: AUGUST 2, 2001

EXAMINER: UNKNOWN

FOR: SPFI-RELATED TRANSCRIPTION FACTORS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO/SB/08.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company). Also attached hereto is a copy of an International Search Report from a corresponding foreign application and copies of those references cited therein.

Respectfully submitted,

THOMAS M. RIZZO Attorney for Applicants Registration No. 41,272

Telephone Number: 302-892-7760 Facsimile No: 302-892-1026

1 uomino 140

Encl.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on 12-1-2001.

Signature

MELISSA MCCULLIN

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each

submitted paper.

APPLICATION NUMBER: 09/890,811
DOCKET NUMBER: BB1436 US PCT
INFORMATION DISCLOSURE STATEMENT
PTO/SB/08 FORMS (3)
INTERNATIONAL SEARCH REPORT
REFERENCES
POSTCARD

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) Allide this box +
Substitute for form 1449Ar RABEMAN

PTO/SB/08A (08-00)
Approved hrough 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Country of COMMERCE

ae lequiles to lespoilu to a collection	or information unless it contains a valid Civils control	number.			
Compl te if Kn wn					
Application Number	09/890,811				
Filing Date	AUGUST 2, 2001				
First Named Inventor	QUN ZHU ET. AL.				
Group Art Unit	Unknown				
Examiner Name Unknown					

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number BB1436 US PCT

U.S. PATENT DOCUMENTS							
Examiner Cite No.1	G11-	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevan	
		d Code ²	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear		
			İ				
					, , , , , , , , , , , , , , , , , , ,		
			<u> </u>				
							
			+				
		<u> </u>	+				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Fo Office ³	reign Patent Documer Number Kind C	ode ⁵ (if	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T ₆
	1		WO 97/47731	A2	E. I. DU PONT DE NEMOURS AND COMPANY	MM-DD-YYYY 12-18-97	Figures Appear	18
	<u>.</u>							

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

PTO/SB/08B(08-00)

Approved for each through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 2

Complete if Known				
Application Number	09/890,811			
Filing Date	AUGUST 2, 2001			
First Named Inventor	QUN ZHU ET. AL.			
Group Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	BB1436 US PCT	フ		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 1431872, 11-18-96, RUSHTON, P. J., ET. AL., INTERACTION OF ELICITOR-INDUCED DNA-BINDING PROTEINS WITH ELICITOR RESPONSE ELEMENTS IN THE PROMOTERS OF PARSLEY PR1 GENES	
		ALAN H. CHRISTENSEN ET. AL., TRANSGENIC RESEARCH, VOL. 5:213-218, 1996, UBIQUITIN PROMOTER- BASED VECTORS FOR HIGH-LEVEL EXPRESSION OF SELECTABLE AND/OR SCREENABLE MARKER GENES IN MONOCOTYLEDONOUS PLANTS	
		CHEN JIA-QI ET. AL., SCIENTIA SINICA, VOL. XVIII:657-668, 1975, THE IMPORTANT ROLE OF HISTORICAL FLOOD DATA IN THE ESTIMATION OF SPILLWAY DESIGH FLOODS	
		DAE-JAE KIM ET. AL., GENE, VOL. 185:265-269, 1997, A CDNA ENCODING A PUTATIVE SPF1-TYPE DNA- BINDING PROTEIN FROM CUCUMBER	
		EMBL SEQUENCE LIBRARY DATABASE ACCESSION NO: AW154976, 11-4-99, RAUYAREE R., ET. AL., IDENTIFICATION AND CHARACTERIZATION OF GENES EXPRESSED BY THE RICE BLAST PATHOGEN AND RICE DURING INFECTION STAGE	
		EMBL SEQUENCE LIBRARY DATABASE ACCESSION NO: BE413138, 7-29-00, ANDERSON O. A. ET. AL., INTERNATIONAL TRITICEAE EST COOPERATIVE (ITEC): PRODUCTION OF EXPRESSED SEQUENCE TAGS FOR SPECIES OF THE TRITICEAE	
		EMBL SEQUENCE LIBRARY DATABASE ACCESSION NO: AL504241, 12-19-00, MICHALEK W., ET. AL., INSTITUTE FOR PLANT GENETICS AND CROP PLANT RESEARCH CORRENSSTR. 3, D-06466	

Examiner Signature	Date Considered	
_	 	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B(08-00)

Approved for Approved 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

To the Paper of P

Substitute for form 1449A/PTO Complete if Known 09/890,811 **Application Number** INFORMATION DISCLOSURE AUGUST 2, 2001 Filing Date STATEMENT BY APPLICANT QUN ZHU ET. AL. First Named Inventor Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown **BB1436 US PCT Attorney Docket Number** Sheet

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	THORSTEN NURNBERGER ET. AL., CELL, VOL. 78:449-460, 1994, HIGH AFFINITY BINDING OF A FUNGAL. OLIGOPEPTIDE ELICITOR TO PARSLEY PLASMA MEMBRANES TRIGGERS MULTIPLE DEFENSE RESPONSES	
		KLAUS HAHLBROCK ET. AL., PROC. NATL. ACAD. SCI., VOL. 92:4150-4157, 1995, OLIGOPEPTIDE ELICITOR-MEDIATED DEFENSE GENE ACTIVATION IN CULTURED PARSLEY CELLS	
		ANDRE DIETRICH ET. AL., THE JOURNAL OF BIOLOGICAL CHEMISTRY, VOL. 205:6360-6368, 1990, FUNGAL ELICITOR TRIGGERS RAPID, TRANSIENT, AND SPECIFIC PROTEIN PHOSPHORYLATION IN PARSLEY CELL SUSPENSION CULTURES	
<u> </u>	,	IMRE E. SOMSSICH ET. AL., PLANT MOLECULAR BIOLOGY, VOL. 12:227-234, 1989, DIFFERENTIAL EARLY ACTIVATION OF DEFENSE-RELATED GENES IN ELICITOR-TREATED PARSLEY CELLS	
		PAUL J. RUSHTON ET. AL., THE EMBO JOURNAL, VOL. 15:5690-5700, 1996, INTERACTION OF ELICITOR- INDUCED DNA-BINDING PROTEINS WITH ELICITOR RESPONSE ELEMENTS IN THE PROMOTERS OF PARSLEY PR1 GENES	
		THOMAS EULGEM ET. AL., THE EMBO JOURNAL, VOL. 17:4689-4699, 1999, EARLY NUCLEAR EVENTS IN PLANT DEFENSE SIGNALLING: RAPID GENE ACTIVATION BY WRKY TRANSCRIPTION FACTORS	
	V	PAUL J. RUSHTON ET. AL., PLANT MOLECULAR BIOLOGY, VOL. 29:691-702, 1995, MEMBERS OF A NEW FAMILY OF DNA-BINDING PROTEINS BIND TO CONSERVED CIS-ELEMENT IN THE PROMOTERS OF A-AMY2 GENES	
	J	SUMIE ISHIGURO ET. AL., MOL GEN GENET, VOL. 244:563-571, 1994, CHARACTERIZATION OF CDNA ENCODING A NOVEL DNA-BINDING PROTEIN, SPF1, THAT RECOGNIZES SP8 SEQUENCES IN THE 5' UPSTREAM REGIONS OF GENES CODING FOR SPORAMIN AND FROM SWEET POTATO	
		NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 1076685, 7-21-00, ISHIGURO, S. ET. AL., CHARACTERIZATION OF A CDNA ENCODING A NOVEL DNA-BINDING PROTEIN, SPF1, THAT RECOGNIZES SP8 SEQUENCES IN THE 5' UPSTREAM REGIONS OF GENES CODING FOR SPORAMIN AND BETA-AMYLASE FROM SWEET POTATO	
		NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 4894965, 5-27-99, SCREEN, S. E. ET. AL., ISOLATION OF WRKY-TYPE DNA-BINDING PROTEINS FROM AVENA SATIVA	
		NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 3420906, 8-16-98, CHO, JI. ET. AL., PIMPINELLA BRACHYCARPA ZINC FINGER PROTEIN PBZFP1 (WRKY1) MRNA	

Examiner Signature	Date Considered	
Olginata. O	00110100100	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.